# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Machinaton	$D \subset$	20540	
Washington,	D.C.	20549	

	OMB APPROVAL									
l	OMB Number:	3235-0287								
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	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Ratcliffe Liam</u>						2. Issuer Name and Ticker or Trading Symbol Unum Therapeutics Inc. [ UMRX ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner						
(Last)	•	First) APEUTICS INC	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 04/03/2018									Officer (give titl below)				ther (specify elow)		
200 CAMBRIDGE PARK DRIVE, SUITE 3100					4.	. If Am	endm	ent, Date	of Origin	al File	ed (Month/D		6. Individual or Joint/Group Filing (Check Applicable								
(Street)	IDGE M	DGE MA 02140													Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting  Person						
(City)	(5	State)	(Zip)																		
		Ta	able I - N	lon-De	rivati	ive S	ecu	rities Ad	quire	d, D	isposed	of, or B	enefic	ially	Owned						
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		ear) E	Execution Date,		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			d 5)	Beneficially Owned Followin		6. Ownersh Form: Direc (D) or Indirec (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount	(A) or (D)	Price	- 1	Reported Transaction (Instr. 3 and	n(s) i 4)			(Instr. 4)				
Common	Stock			04/03/2018		018		С		622,772	2 A	(1)	)	622,77	'2 <sup>(1)</sup>	) I		See Footnote <sup>(2)(3)</sup>			
Common	Stock			04/0	3/201	8			С		622,773	3 A	(1)	)	622,773 <sup>(1)</sup>			I	See Footnote <sup>(4)(5)</sup>		
Common	Common Stock		04/0	03/2018				P		275,000	0 A	\$1	2	897,772		I		See Footnote <sup>(2)(3)</sup>			
Common Stock			04/0	3/201	/2018					275,000	00 A \$1		2	897,773				See Footnote <sup>(4)(5)</sup>			
			Table II								posed o				Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative		6. Date Exerci Expiration Da (Month/Day/Yo		ite	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		10. Ownersl Form: Direct (E or Indire (I) (Instr.	Beneficial Ownership ect (Instr. 4)		
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amoun or Numbe of Shar	er		Transaction(s) (Instr. 4)					
Series B Preferred Stock	(1)	04/03/2018			С		977,835		(1)		(1)	Common Stock	622,7	772	(1)		0 I		See Footnote <sup>(2)(3)</sup>		
Series B Preferred Stock	(1)	04/03/2018			С			977,836	(1)		(1)	Common Stock	622,7	73	(1)	(	)	I	See Footnote <sup>(4)(5)</sup>		

### **Explanation of Responses:**

- 1. Each share automatically converted into Common Stock, for no additional consideration, on a 1.5701314513884-for-1 basis at the closing of the Issuer's initial public offering. The shares have no expiration date.
- 2. The shares are held directly by New Leaf Biopharma Opportunities I, L.P. ("Biopharma I"). New Leaf BPO Associates I, L.P. ("NLBA I") is the sole general partner of Biopharma I. New Leaf Venture Management III, L.L.C. ("NLV Management III") is the sole general partner of NLBA I and NLV Management III disclaim beneficial ownership of these securities and this report shall not be deemed an admission that NLBA I or NLV Management III are beneficial owners of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein. Each of the Reporting Person, Ronald M. Hunt and Vijay K. Lathi (each a "Member" and collectively, the "Members") is a member of NLV Management III and may be deemed to have shared voting and dispositive power of these securities. Continued in Footnote 3
- 3. Continued from Footnote 2: Each of the Members disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any of them is the beneficial owner of such securities for purposes of Section 16 of any other purpose, except to the extent of their respective pecuniary interests therein.
- 4. The shares are held directly by New Leaf Ventures III, L.P. ("NLV III"). New Leaf Venture Associates III, L.P. ("NLV Associates III") is the sole general partner of NLV III. NLV Management III is the sole general partner of NLV Associates III. NLVP is the investment adviser of NLV III. Each of NLV Associates and NLV Management III disclaim beneficial ownership of these securities and this report shall not be deemed an admission that NLBA I or NLV Management III are beneficial owners of such securities for purposes of Section 16 or for any other purpose, except to the extent of their respective pecuniary interests therein. Each of the Members may be deemed to have shared voting and dispositive power of these securities. Continued in Footnote 5
- 5. Continued from Footnote 4: Each of the Members disclaims beneficial ownership of these securities and this report shall not be deemed an admission that any of them is the beneficial owner of such securities for purposes of Section 16 of any other purpose, except to the extent of their respective pecuniary interests therein.

## Remarks:

/s/ Amoli Pandya, Attorney-in-**Fact** 

04/03/2018

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.