The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM D

Notice of Exempt Offering of Securities

OMB APPROVAL

OMB 3235Number: 0076

Estimated average burden hours per response: 4.00

1. Issuer's Identity

CIK (Filer ID Number)

Previous
Names

None

Entity Type

0001622229 Unum Therapeutics, Inc. X Corporation

Name of Issuer Limited Partnership

Unum Therapeutics Inc.

Limited Liability Company

Jurisdiction of<br/>Incorporation/OrganizationGeneral PartnershipDELAWAREBusiness TrustOther (Specify)

Year of Incorporation/Organization

X Over Five Years Ago

Within Last Five Years (Specify Year)

Yet to Be Formed

2. Principal Place of Business and Contact Information

Name of Issuer

Unum Therapeutics Inc.

Street Address 1 Street Address 2

200 CAMBRIDGE PARK DRIVE SUITE 3100

City State/Province/Country ZIP/PostalCode Phone Number of Issuer

CAMBRIDGE MASSACHUSETTS 02140 617-945-5576

3. Related Persons

Last Name First Name Middle Name

Wilson Charles

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

3100

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** X Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Green John L.

Street Address 1 Street Address 2

200 Cambridge Park Drive, Suite

Unum Therapeutics Inc. 200 Cambri 3100

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Sachs Jessica

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

310

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

Relationship: X Executive Officer Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Harwin Peter

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

310

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Cain Chris

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

3100

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Morris Arlene

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

3100

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Last Name First Name Middle Name

Ferrante Karen

Street Address 1 Street Address 2

Unum Therapeutics Inc. 200 Cambridge Park Drive, Suite

3100

City State/Province/Country ZIP/PostalCode

Cambridge MASSACHUSETTS 02140

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Middle Name **Last Name First Name** 

Matthew Ros

> **Street Address 1 Street Address 2**

> > 200 Cambridge Park Drive, Suite

3100

State/Province/Country ZIP/PostalCode City

Cambridge **MASSACHUSETTS** 02140

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

# 4. Industry Group

Unum Therapeutics Inc.

Agriculture Health Care Retailing Banking & Financial Services X Biotechnology Restaurants Commercial Banking Health Insurance Technology Insurance

Hospitals & Physicians Computers Investing Pharmaceuticals **Telecommunications Investment Banking** Pooled Investment Fund

Is the issuer registered as Manufacturing Travel

an investment company under Real Estate Airlines & Airports the Investment Company Commercial Act of 1940? **Lodging & Conventions** 

Other Health Care

Other Technology

Construction Yes No Tourism & Travel Services Other Banking & Financial Services **REITS & Finance** 

Other Travel

**Business Services** Residential Other

Energy Other Real Estate

**Energy Conservation** 

**Environmental Services** 

Oil & Gas Other Energy

Coal Mining **Electric Utilities** 

## 5. Issuer Size

#### OR **Revenue Range** Aggregate Net Asset Value Range

No Revenues No Aggregate Net Asset Value

\$1 - \$5,000,000 \$1 - \$1,000,000

\$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$5,000,001 -\$25,000,001 - \$50,000,000 \$25,000,000

\$25,000,001 -\$50,000,001 - \$100,000,000 \$100,000,000

Over \$100,000,000 Over \$100,000,000 X Decline to Disclose Decline to Disclose Not Applicable Not Applicable

### 6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

Rule 504(b)(1) (not (i), (ii) or (iii)) Investment Company Act Section 3(c) Rule 504 (b)(1)(i) Section 3(c)(1)Section 3(c)(9)Rule 504 (b)(1)(ii) Section 3(c)(2) Section 3(c)(10)Rule 504 (b)(1)(iii)

X Rule 506(b) Rule 506(c) Securities Act Section 4(a)(5)	Section 3(c) Section 3(c) Section 3(c) Section 3(c)	(4) Section 3(c)(12) (5) Section 3(c)(13)	
	Section 3(c)(		
7. Type of Filing			
X New Notice Date of First Sale 2020-07-06 Amendment	First Sale Yet to	Occur	
8. Duration of Offering			
Does the Issuer intend this offering to last mor	e than one year?	Yes X No	
9. Type(s) of Securities Offered (select all that	apply)		
X Equity Debt Option, Warrant or Other Right to Acquire Another Security Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security		Pooled Investment Fund Interests Tenant-in-Common Securities Mineral Property Securities Other (describe)	S
10. Business Combination Transaction			
Is this offering being made in connection with as a merger, acquisition or exchange offer?	a business combin	ation transaction, such X Yes	No
Clarification of Response (if Necessary):			
Merger with Kiq Bio LLC.			
11. Minimum Investment			
Minimum investment accepted from any outside	de investor \$0 USI	)	
12. Sales Compensation			
Recipient	Recipi	ient CRD Number X None	
(Associated) Broker or Dealer X None Numb		ciated) Broker or Dealer CRD er	X None
Street Address 1		Street Address 2	
City	State/F	Province/Country	ZIP/Postal Code
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	All Fore	eign/non-US	
13. Offering and Sales Amounts			
Total Offering Amount \$44,812,154 USD Total Amount Sold \$44,812,154 USD Total Remaining to be Sold \$0 USD  Clarification of Response (if Necessary):  14. Investors			
Select if securities in the offering have been investors, and enter the number of such non			

Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:

### 15. Sales Commissions & Finder's Fees Expenses

Provide separately the amounts of sales commissions and finders fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount.

Sales Commissions \$0 USD Estimate Finders' Fees \$0 USD Estimate

Clarification of Response (if Necessary):

### 16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$0 USD Estimate

Clarification of Response (if Necessary):

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in the accordance with applicable law, the information furnished to offerees.\*
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Regulation D exemption for the offering, the issuer is not disqualified from relying on Rule 504 or Rule 506 for one of the reasons stated in Rule 504(b)(3) or Rule 506(d).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Unum Therapeutics Inc.	/s/ Charles Wilson	Charles Wilson	Chief Executive Officer and President	2020-07-21

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.

